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Signature

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9	nder the Paperwork	Reduction Act of 1995, no persons are require		to a collection of in Docket No.		ays a valid OMB control number.						
र्त	UTILITY		First Inve		520.39873VX1							
1	PATENT APPLICATION		Pilst live		Shunichi NARUMI et al.							
		TRANSMITTAL		INDUCTIVE H	EAD AND MAGNETI	C DISK APPARATUS						
	(Only for new nonp	provisional applications under 37 CFR 1.53(b))	Express I	Mail Label No.		- 0 ±						
ı		APPLICATION ELEMENTS	, <u></u>		Mail Stop Pate	0,00						
	SEE MPEP cha	pter 600 concerning patent application	n contents.	ADDRESS TO:	Commissione POB 1450 Alexandria Vi	r for Patents						
ŀ				<u> </u>	7.107.01.01, 7.1	25.5						
I	1. Fee Tr	ransmittal Form (e.g., PTO/SB/17) on original and a duplicate for fee processing)			-ROM or CD-R in du mputer Program ( <i>App</i>	plicate, large table or condix)						
	2. Applica	ant claims small entity status.			8. Nucleotide and/or Amino Acid Sequence Submission							
١	See 37 CFR 1.27.				fif applicable, all necessary) a. Computer Readable Form (CRF)							
	3. Specifi	•	L)		b. Specification Sequence Listing on:							
		iptive title of the invention (or title page) Reference to Related Applications		i.	i. ☐ CD-ROM or CD-R (2 copies); or							
١	-Stater	ment Regarding Fed sponsored R & D		. ii. l	☐ paper							
	or a co	ence to sequence listing, a table, omputer program listing appendix pround of the Invention		c. 🗌 Sta	atements verifying identity of above copies							
	-Brief (	Description of the Drawings (if filed)		ACCOMPANYING APPLICATION PARTS								
	-Detail -Claim	ed Description (s)										
	-Abstra	act of the Disclosure		9. Assignment(s/Recordation Cover Form(s) PTO-1595  10. 37 CFR 3.73(b) Statement Power of Attorney (when there is an assignee) (In Declarations)								
	4. Formal D	rawing(s)( <i>35 U.S.C. 113</i> ) [ <b>07 Shts.: Eigs</b>	.1- <b>7</b> ]									
	5. Oath or Deck			11. English Translation Document (if applicable)								
	a.  Newly executed (original or copy) b. Copy from a prior application (37 CFR 1.63 (d))   (for continuation/divisional with Box 18 comple i. DELETION OF INVENTOR(S) Signed statement attached deleting inventor			12. Information Disclosure Copies of Refs Statement (IDS)/PTO-1449								
					13. Preliminary Amendment/Claims for Priorities 14. Return Receipt Postcard (MPEP 503)							
				(Should be specifically itemized)								
-		FR	15. Certified Copy of Priority Document(s)									
- 1	1.63(d)(2) and 1.33(b)			(if foreign priority is claimed)								
- 1	ii. 🔲 F	FILED WITHOUT DECLARATION		<ul> <li>16.  Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.</li> <li>17.  Other: Form PTO-2038 (Fee Code 1001)</li> </ul>								
	6. Applica	ation Data Sheet (See 37 CFR §1.76)										
	о. <u> </u>			17. 🔼 Othe	r: Form PTO-2038	(Fee Code 1001)						
·	ame <u>nd</u> ment, or t	18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:  ☐ Continuation ☐ Division ☐ Continuation-in-part (CIP) of prior application No. 09/811,437 filed 20 March 2001										
Prior application information: Examiner: J.A. Watko Group Art Unit: 2652 Confirmation No.: 9521  CONTINUATION/DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supp 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by 19. CORRESPONDENCE ADDRESS												
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								Customer No./Bar Code Label  (Insert@ustomer/No/Attach/barcode/label/here)  or Correspondence a				
	Name ANTONELLI, TERRY, STOUT & KRAUS, LLP											
ŀ	Address	· · · · · · · · · · · · · · · · · · ·		<del></del>	<u></u> .							
l	City		State		Zip Code							
	Country	1	elephone	703-312-6600	Fax	703-312-6666						
	Name Pa	ul J. SKWIERAWSKJ		Registration N	o. (Attorney/Agent)	32,173						

4 March 2004

Date

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control

**FEE TRANSMITTAL** for FY 2002

Patent fees are subject to annual revision.

Complete if Known **Application Number** Unassigned (§53b Div. of 09/811,437) Filing Date 4 March 2004 First Named Inventor Shunichi NARUMI et al.

Patent fees are subject to ann	Examiner Name			ne	Unassigned (Parent - J.A. Watko)		
	Group Art Unit			t	Unassigned (Parent - 2652)	Unassigned (Parent - 2652)	
TOTAL AMOUNT OF PAYMENT		Attorney Docket No. 520.3			520.39873VX1	20.39873VX1	
METHOD OF PAYMENT	FEE CALCULATION (continued)						
The Commissioner is hereby authorized to DEFICIENCIES indicated to:     Deposit Account Number 01-2135		DDITIC Large Entity		FEES Small Entity	- O' LOO L'ATTOM (COMMINGCO)	· · · · · · · · · · · · · · · · · · ·	
Deposit Account Name ATS&K  ☑ Charge Any Additional Fee Required	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid	
Under 37 CFR 1.1 6 and 1.17	105	130	205	65	Surcharge – late filing fee or oath		
☐ Applicant Claims small entity status. See 37 CFR 1.27			50	227		Surcharge – late provisional filing fee or cover sheet	
2. 🛛 Payment Enclosed:		139	130	139	130 I	Non-English specification	
☐ Check ☑ Credit Card ☐ Money Order ☐ Other			2,520	147	2,520	For filing a request for ex parte reexamination	
FEE CALCULATION		112	920*	112		Requesting publication of SIR prior to Examination action	
2. BASIC FILING FEE  Large Entity Small Entity  Fee Fee Fee Fee Description	F D-11	113	1,840*		i	Requesting publication of SIR after Examiner action	
Code (\$) Code (\$)	Fee Paid	115	110	215		Extension for reply within first month	•
1001 770 2001 385 Utility filing fee		116	400	216		Extension for reply within second month	
1002 340 2002 170 Design filing fee		117	920	217		Extension for reply within third month	
1003 530 2003 265 Plant filing fee		118	1,440	218		Extension for reply within fourth month	
1004 770 2004 385 Reissue filing fee		128	1,960	228		Extension for reply within fifth month	
1005 160 2005 80 Provisional filing fee		119	320	219		Notice of Appeal	
SUBTOTAL (1)		120	320	220		Filing a brief in support of an appeal	
000101712(1)	\$770.00	121	280	221		Request for oral hearing	
1. EXTRA CLAIM FEES		138	1,510	138		Petition to institute a public use proceeding	
Fee from		140	110	240		Petition to revive – unavoidable	
Extra Claims below	Fee Paid	141 142	1,280 1,280	241 242		Petition to revive – unintentional	
Total Claims 10-20** = 00 x\$18 =	\$00	143	460	242		Utility issue fee (or reissue) Design issue fee	
Indep. Claims 1-3** = 00 x\$ 86 =	\$00	143	620	243		Plant issue fee	
Multiple Dependent	=	122	130	122		Petitions to the Commissioner	
		123	50	123		Processing fee under 37 CFR 1.17(q)	
Large Entity Small Entity		126	180	126		Submission of Information Disclosure Stmt	
Fee Fee Fee Fee Fee Description Code (\$) Code (\$) 1201 18 2201 9 Claims in excess of		581	40	581	40	Recording each patent assignment per property (times number of properties)	
1202 86 2202 43 Independent claims	in excess of 3	146	740	246		For each additional invention to be examined (37 CFR § 1.129(a))	
1203 290 2203 145 Multiple dependent of	daim, if not paid	149	740	249		For each additional invention to be examined (37 CFR § 1.129(b))	
1204 86 2204 43 ** Reissue independe over original pater		179 169	740 900	279 169		Request for Continued Examination (RCE) Request for expedited examination	
1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent			of a design application  Other fee (specify)				
SUBTOTAL (2)	\$00	*Redi	uced by I	Basic Fil	ling Fee F	Paid SUBTOTAL (3) (\$)	00
**or number previously paid, if greater; For Reissues,	see above.	l					

SUBMITTED BY		Complete (if applicable)					
Name (Print/Type)	Paul J. SKWIERAWSKI	Registration No. (Attorney/Agent)	32,173	Telephone	703-312-6600		
Signature	Paul Albumant.			Date	4 March 2004		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card Information and authorization on PTO-2038. Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.